

# Feedback and Delayed CSI Can Be as Good as Perfect CSI

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**Abstract**—The degrees of freedom (DoF) region of the two-user MIMO interference channel (IC) is completely characterized in the presence of noiseless channel output feedback from each receiver to its respective transmitter and with the assumption of delayed channel state information (CSI) at the transmitters. It is shown that having output feedback and delayed CSI at the transmitters can strictly enlarge the DoF region when compared to the case in which only delayed CSI is available at the transmitters. Furthermore, cases are identified in which output feedback and delayed CSI alone are sufficient to achieve the DoF region achievable with perfect, instantaneous CSI.

## I. INTRODUCTION

In many wireless networks, multiple pairs of transmitters/receivers wish to communicate over a shared medium. In such situations, due to the broadcast and superposition nature of the wireless medium, the effect of interference is inevitable. Hence, management of interference is of extreme importance in such networks.

Various interference management techniques have been proposed over the past few decades. The more traditional approaches to deal with interference either treat it as noise (in the low interference regime) or decode and then remove it from the received signal (in the high interference regime). However, such techniques are not strong enough to achieve the optimal performance of the network even in the simple interference channel with two pairs of transceivers equipped with multiple antennas. Recently, more sophisticated schemes, such as interference alignment [1], [2] and (aligned) interference neutralization [3]–[5] have been proposed for managing interference, which can significantly increase the achievable rate over the interference networks. However, these techniques are usually based on availability of instantaneous (perfect) channel state information (p-CSI) at the transmitters. Such an assumption is perhaps not very realistic in practical systems, at least when dealing with fast fading links.

Quite surprisingly, it is shown by Maddah-Ali and Tse that even delayed (stale) CSI is helpful to improve the achievable rate of wireless network with multiple flows, even if the channel realizations are independent over time. In a seminal paper [6], they showed that the sum Degrees of Freedom (DoF) of  $4/3$  is achievable for a broadcast multiple-input multiple-output (MIMO) network with two transmit antennas and one antenna at each receiver. This is in contrast to

DoF = 1, which is known to be optimal when no CSI is available. This technique is further studied in [7], where the authors showed that the delayed CSI can also improve the achievable DoF of the X-channel.

The DoF region of the two-user MIMO interference channel with delayed CSI is completely characterized by Vaze and Varanasi [8]. They have shown that, depending on the parameters of the channel (the number of antennas at each terminal), the DoF with delayed CSI can be strictly better than that of no CSI, and worse than that with instantaneous CSI. The effects of feedback on the capacity region of the interference channel have been studied in several recent papers (see [9] and references therein). It is known that under the perfect CSI assumption, feedback does not increase the DoF of MIMO BC and the MIMO IC [11]. One question that can be raised here is whether output feedback can be helpful with delayed CSI or not. For the case of the broadcast channel (BC), this question is answered in a negative way in [10]: having output feedback besides delayed CSI does not increase the DoF region of the MIMO BC.

In this work, we study this question for the two-user interference channel (IC), where each transmitter is provided with the past state information of the channel, as well as the received signal at its respective receiver. It turns out that, surprisingly, existence of output feedback can increase the DoF region of the interference channel. In the presence of output feedback with delayed CSI, transmitter 1 besides being able to reconstruct the interference it caused at receiver 2, can also reconstruct a part of the signal intended to receiver 2. This is in contrast to the case of the MIMO BC, where all information symbols are created at one transmitter, and hence output feedback in addition to delayed CSI does not increase the DoF region of the MIMO BC.

## II. SYSTEM MODEL

We consider the  $(M_1, M_2, N_1, N_2)$  MIMO-IC with fast fading under the assumptions of (A-I) noiseless causal channel output feedback from each receiver to its respective transmitter and (A-II) the availability of delayed CSI at the transmitters (see Figure 1). We denote the transmitters by  $\mathbf{T}\mathbf{x}_1$  and  $\mathbf{T}\mathbf{x}_2$  and the receivers by  $\mathbf{R}\mathbf{x}_1$  and  $\mathbf{R}\mathbf{x}_2$ . The channel outputs at the receivers are given as

$$\begin{aligned} Y_1(t) &= \mathbf{H}_{11}(t)X_1(t) + \mathbf{H}_{12}(t)X_2(t) + Z_1(t) \\ Y_2(t) &= \mathbf{H}_{21}(t)X_1(t) + \mathbf{H}_{22}(t)X_2(t) + Z_2(t), \end{aligned}$$

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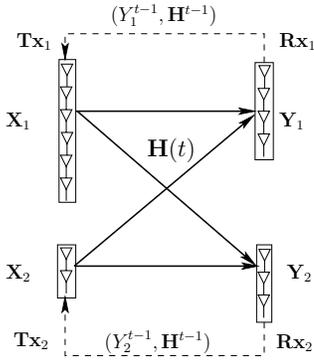


Fig. 1. MIMO-IC with output feedback and delayed CSI.

where  $X_m(t)$  is the signal transmitted by  $m$ th transmitter  $\mathbf{Tx}_m$ ;  $\mathbf{H}_{nm}(t) \in \mathbb{C}^{N_n \times M_m}$  denotes the channel matrix between  $n$ th receiver and  $m$ th transmitter; and  $Z_n(t) \sim \mathcal{CN}(0, I_{N_n})$ , for  $n = 1, 2$ , is the additive noise at receiver  $n$ . The power constraints are  $\mathbb{E}\|X_m(t)\|^2 \leq P$ , for  $\forall m, t$ .

We denote  $\mathbf{H}(t) = \{\mathbf{H}_{11}(t), \mathbf{H}_{12}(t), \mathbf{H}_{21}(t), \mathbf{H}_{22}(t)\}$  as the collection of all channel matrices at time  $t$ . Furthermore,  $\mathbf{H}^{t-1} = \{\mathbf{H}(1), \mathbf{H}(2), \dots, \mathbf{H}(t-1)\}$  denotes the set of all channel matrices up till time  $(t-1)$ . Similarly, we denote  $Y_n^{t-1} = \{Y_n(1), \dots, Y_n(t-1)\}$  as the set of all channel outputs at receiver  $n$  up till time  $(t-1)$ . A coding scheme with block length  $T$  for the MIMO-IC with feedback and delayed CSI consists of a sequence of encoding functions:

$$\begin{aligned} X_1(t) &= f_{1,t}^T(W_1, \mathbf{H}^{t-1}, Y_1^{t-1}) \\ X_2(t) &= f_{2,t}^T(W_2, \mathbf{H}^{t-1}, Y_2^{t-1}), \end{aligned}$$

defined for  $t = 1, \dots, T$ , and two decoding functions:

$$\hat{W}_1 = g_1^T(Y_1^n, \mathbf{H}^n), \quad \hat{W}_2 = g_2^T(Y_2^n, \mathbf{H}^n),$$

where  $W_1$  (respectively  $W_2$ ) denotes the message for  $\mathbf{Rx}_1$  (respectively  $\mathbf{Rx}_2$ ). A rate pair  $(R_1(P), R_2(P))$  is achievable if there exists a sequence of coding schemes such that  $\mathbb{P}(W_m \neq \hat{W}_m) \rightarrow 0$  as  $T \rightarrow \infty$  for both  $m = 1, 2$ . The capacity region  $\mathcal{C}(P)$  is defined as the set of all achievable rate pairs  $(R_1(P), R_2(P))$ . We define the DoF region as follows:

$$\begin{aligned} \mathbf{DoF}^{\text{FB,d-CSI}} &= \left\{ (d_1, d_2) \middle| d_m \geq 0, \text{ and } \exists (R_1(P), R_2(P)) \in \mathcal{C}(P) \right. \\ &\quad \left. \text{s.t. } d_m = \lim_{P \rightarrow \infty} \frac{R_m(P)}{\log_2(P)}, m = 1, 2 \right\}. \end{aligned} \quad (1)$$

We denote the DoF regions corresponding to the cases of perfect-CSI, no-CSI, delayed-CSI, with output feedback, and with output feedback as well as delayed CSI as follows:

- No CSI:  $\mathbf{DoF}^{\text{No-CSI}}$

$$X_m(t) = f_{m,t}^T(W_m), m = 1, 2.$$

- Perfect CSI:  $\mathbf{DoF}^{\text{P-CSI}}$

$$X_m(t) = f_{m,t}^T(W_m, \mathbf{H}^T), m = 1, 2.$$

- Delayed CSI:  $\mathbf{DoF}^{\text{d-CSI}}$

$$X_m(t) = f_{m,t}^T(W_m, \mathbf{H}^{t-1}), m = 1, 2.$$

- Output feedback and delayed CSI:  $\mathbf{DoF}^{\text{FB,d-CSI}}$

$$X_m(t) = f_{m,t}^T(W_m, Y_m^{t-1}, \mathbf{H}^{t-1}), m = 1, 2.$$

### III. MAIN RESULTS

The contribution of this paper is a complete characterization of  $\mathbf{DoF}^{\text{FB,d-CSI}}$ , stated in the following theorem:

*Theorem 1:* The DoF region with channel output feedback and delayed CSI,  $\mathbf{DoF}^{\text{FB,d-CSI}}$  is given as the set of all non-negative pairs  $(d_1, d_2)$  that satisfy

$$d_1 \leq \min(M_1, N_1) \quad (2)$$

$$d_2 \leq \min(M_2, N_2) \quad (3)$$

$$\begin{aligned} d_1 + d_2 \leq \min \left\{ M_1 + M_2, N_1 + N_2, \right. \\ \left. \max(M_1, N_2), \max(M_2, N_1) \right\} \end{aligned} \quad (4)$$

$$\frac{d_1}{\min(N_1 + N_2, M_1)} + \frac{d_2}{\min(N_2, M_1)} \leq \frac{\min(N_2, M_1 + M_2)}{\min(N_2, M_1)} \quad (5)$$

$$\frac{d_1}{\min(N_1, M_2)} + \frac{d_2}{\min(N_1 + N_2, M_2)} \leq \frac{\min(N_1, M_1 + M_2)}{\min(N_1, M_2)}. \quad (6)$$

For comparison, we recall the DoF region with perfect, instantaneous CSI at the transmitters  $\mathbf{DoF}^{\text{P-CSI}}$  [11]:

$$d_1 \leq \min(M_1, N_1) \quad (7)$$

$$d_2 \leq \min(M_2, N_2) \quad (8)$$

$$\begin{aligned} d_1 + d_2 \leq \min \left\{ M_1 + M_2, N_1 + N_2, \right. \\ \left. \max(M_1, N_2), \max(M_2, N_1) \right\}. \end{aligned} \quad (9)$$

In addition, the DoF region with delayed CSI,  $\mathbf{DoF}^{\text{d-CSI}}$  was characterized in [8]. This region is given by the set of inequalities as in Theorem 1 along with two more inequalities (bounds  $L_4$  and  $L_5$  in Definitions 1, 2, [8] corresponding to two mutually exclusive technical conditions, which the authors call condition 1 and condition 2). Hence, from Theorem 1, we have the following relationship:

$$\mathbf{DoF}^{\text{No-CSI}} \subseteq \mathbf{DoF}^{\text{d-CSI}} \subseteq \mathbf{DoF}^{\text{FB,d-CSI}} \subseteq \mathbf{DoF}^{\text{P-CSI}}.$$

Sketch of the converse proof: the upper bounds (2)-(3) are straightforward from the point-to-point MIMO channel. The sum degrees of freedom bound in (4) can be proved in a manner similar to the proof of [11]. Hence, to show that  $\mathbf{DoF}^{\text{FB,d-CSI}}$  is contained in the region given by (2)-(4), we need only to prove the bounds (5) and (6) for the case of output feedback and delayed CSI. Since (5) and (6) are symmetric, we need to prove that if  $(d_1, d_2) \in \mathbf{DoF}^{\text{FB,d-CSI}}$ , then  $(d_1, d_2)$  must satisfy the bound (5). The proof of bound (5) closely follows the converse proof in [8]. Due to space limitations, the detailed proof is deferred to [12].

#### IV. CODING SCHEME WITH FB AND DELAYED CSI

In this section, we present coding schemes that achieve the **DoF** region stated in Theorem 1. We assume without loss of generality, that  $N_1 \geq N_2$ . We refer the reader to Table I in reference [8].

- If  $(M_1, M_2, N_1, N_2)$  are such that

$$\mathbf{DoF}^{\text{FB,d-CSI}} = \mathbf{DoF}^{\text{d-CSI}}, \quad (10)$$

coding schemes presented in [8] which use delayed CSI only suffice for our problem. The condition (10) corresponds to cases A.I, A.II, B.0, B.I, and B.II, as defined in [8].

- If  $(M_1, M_2, N_1, N_2)$  are such that

$$\mathbf{DoF}^{\text{FB,d-CSI}} \supset \mathbf{DoF}^{\text{d-CSI}}, \quad (11)$$

we present a novel coding scheme that achieves  $\mathbf{DoF}^{\text{FB,d-CSI}}$ .

Due to space limitations, we highlight the contribution of our coding scheme through two examples which captures its essential features and leads to valuable insights for the case of arbitrary  $(M_1, M_2, N_1, N_2)$ .

#### V. (6, 2, 4, 3)-IC WITH FEEDBACK AND DELAYED CSI

We first focus on the case of the (6, 2, 4, 3)-MIMO IC. For comparison purposes, we note here the **DoF** regions with no-CSI, perfect CSI, delayed CSI, output feedback and delayed CSI. For all these four regions, we have the following bounds:

$$d_1 \leq 4; \quad d_2 \leq 2.$$

Besides these, we have the following additional bounds:

- No-CSI:

$$\frac{d_1}{4} + \frac{d_2}{2} \leq 1.$$

- Perfect CSI:  $d_1 + d_2 \leq 4$ .
- Delayed CSI (case B-III, [8]):

$$d_1 + d_2 \leq 4; \quad d_1 + \frac{8d_2}{3} \leq 7.$$

- Output feedback and delayed CSI (Theorem 1):

$$d_1 + d_2 \leq 4; \quad \frac{d_1}{6} + \frac{d_2}{3} \leq 1.$$

It can be verified that this region is the same as the DoF region with perfect CSI, since the bound  $\frac{d_1}{6} + \frac{d_2}{3} \leq 1$  is redundant as  $d_1 \geq 2 \geq d_2$  is a valid choice (see Figure 2).

The main contribution of the coding scheme is to show the achievability of the point (2, 2) under the assumption of output feedback and delayed CSI. To show the achievability of point (2, 2), we will show that in three uses of the channel, we can reliably transmit 6 information symbols to receiver 1, and 6 information symbols to receiver 2.

Encoding at transmitter 2: transmitter 2 sends fresh information symbols on both its antennas for  $t = 1, 2, 3$ , i.e., the channel input of transmitter 2, denoted as  $X_2(t)$  for  $t = 1, 2, 3$

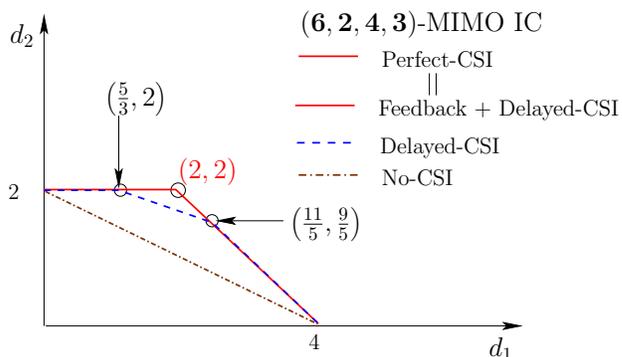


Fig. 2. **DoF** region for (6, 2, 4, 3)-MIMO-IC with various assumptions.

can be written as

$$X_2(1) = [v_1 \ v_2]^T, X_2(2) = [v_3 \ v_4]^T, X_2(3) = [v_5 \ v_6]^T.$$

At  $t = 1$ , transmitter 1 sends 6 information symbols on its 6 antennas, i.e., it sends  $X_1(1) = [u_1 \ u_2 \ \dots \ u_6]^T$ . Let us denote by  $\mathbf{u} = (u_1, \dots, u_6)$  the vector of information symbols intended for receiver 1. The outputs at receivers 1 and 2 at  $t = 1$  (ignoring noise) are given as

$$Y_1(1) = \begin{bmatrix} A_1(\mathbf{u}) + B_1(v_1, v_2) \\ A_2(\mathbf{u}) + B_2(v_1, v_2) \\ A_3(\mathbf{u}) + B_3(v_1, v_2) \\ A_4(\mathbf{u}) + B_4(v_1, v_2) \end{bmatrix}, \quad (12)$$

$$Y_2(1) = \begin{bmatrix} P_1(\mathbf{u}) + Q_1(v_1, v_2) \\ P_2(\mathbf{u}) + Q_2(v_1, v_2) \\ P_3(\mathbf{u}) + Q_3(v_1, v_2) \end{bmatrix}. \quad (13)$$

Upon receiving  $Y_1(t)$  (channel output feedback) from receiver 1 and  $H(1)$  (delayed CSI), transmitter 1 can use  $(u_1, \dots, u_6, Y_1(1), H(1))$  to solve for  $(v_1, v_2)$ . Consequently, it can reconstruct  $Q_1(v_1, v_2)$  and  $Q_2(v_1, v_2)$  which constitute a part of the received signal,  $Y_2(1)$ , at receiver 2. In addition, having access to delayed CSI,  $H(1)$ , it can also compute  $P_1(\mathbf{u})$  and  $P_2(\mathbf{u})$ , a part of the interference it caused at receiver 2. In the next two time instants, i.e., at  $t = 2$  and 3, transmitter 1 sends

$$X_1(2) = [P_1(\mathbf{u}) \ Q_1(v_1, v_2) \ \phi \ \phi \ \phi \ \phi]^T \quad (14)$$

$$X_1(3) = [P_2(\mathbf{u}) \ Q_2(v_1, v_2) \ \phi \ \phi \ \phi \ \phi]^T, \quad (15)$$

where  $\phi$  denotes a constant symbol known to all terminals.

The channel outputs at receiver 1 at  $t = 2, 3$  are given as follows:

$$Y_1(2) = \begin{bmatrix} C_1(P_1(\mathbf{u}), Q_1(v_1, v_2), v_3, v_4) \\ C_2(P_1(\mathbf{u}), Q_1(v_1, v_2), v_3, v_4) \\ C_3(P_1(\mathbf{u}), Q_1(v_1, v_2), v_3, v_4) \\ C_4(P_1(\mathbf{u}), Q_1(v_1, v_2), v_3, v_4) \end{bmatrix}, \quad (16)$$

$$Y_1(3) = \begin{bmatrix} D_1(P_2(\mathbf{u}), Q_2(v_1, v_2), v_5, v_6) \\ D_2(P_2(\mathbf{u}), Q_2(v_1, v_2), v_5, v_6) \\ D_3(P_2(\mathbf{u}), Q_2(v_1, v_2), v_5, v_6) \\ D_4(P_2(\mathbf{u}), Q_2(v_1, v_2), v_5, v_6) \end{bmatrix}. \quad (17)$$



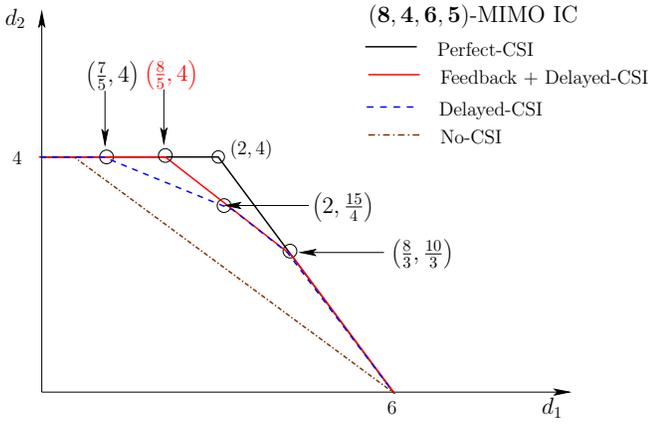


Fig. 4. DoF region for (8, 4, 6, 5)-MIMO-IC with various assumptions.

delayed CSI. To this end, we will show that in 5 channel uses, transmitter 1 can send 8 symbols to receiver 1 and transmitter 2 can send 20 symbols to receiver 2.

For all 5 channel uses, transmitter 2 sends fresh information symbols, i.e., it sends

$$X_2(1) = [v_1 \dots v_4]^T, \dots, X_2(5) = [v_{17} \dots v_{20}]^T. \quad (20)$$

In the first channel use, transmitter 1 sends 8 fresh information symbols, i.e.,

$$X_1(1) = [u_1 \ u_2 \dots u_8]^T. \quad (21)$$

Let us denote  $\mathbf{u} = (u_1, u_2, \dots, u_8)$ . The outputs at receivers 1 and 2 at  $t = 1$  (ignoring noise) are given as:

$$Y_1(1) = \begin{bmatrix} A_1(\mathbf{u}) + B_1(v_1, v_2, v_3, v_4) \\ A_2(\mathbf{u}) + B_2(v_1, v_2, v_3, v_4) \\ A_3(\mathbf{u}) + B_3(v_1, v_2, v_3, v_4) \\ A_4(\mathbf{u}) + B_4(v_1, v_2, v_3, v_4) \\ A_5(\mathbf{u}) + B_5(v_1, v_2, v_3, v_4) \\ A_6(\mathbf{u}) + B_6(v_1, v_2, v_3, v_4) \end{bmatrix}, \quad (22)$$

$$Y_2(1) = \begin{bmatrix} P_1(\mathbf{u}) + Q_1(v_1, v_2, v_3, v_4) \\ P_2(\mathbf{u}) + Q_2(v_1, v_2, v_3, v_4) \\ P_3(\mathbf{u}) + Q_3(v_1, v_2, v_3, v_4) \\ P_4(\mathbf{u}) + Q_4(v_1, v_2, v_3, v_4) \\ P_5(\mathbf{u}) + Q_5(v_1, v_2, v_3, v_4) \end{bmatrix}. \quad (23)$$

Upon receiving feedback  $Y_1(1)$ , and CSI  $H(1)$ , having access to  $\mathbf{u}$ , transmitter 1 can reconstruct  $(P_1(\mathbf{u}), \dots, P_4(\mathbf{u}))$  and  $(Q_1(v_1, v_2, v_3, v_4), \dots, Q_4(v_1, v_2, v_3, v_4))$ . In the subsequent channel uses,  $2 \leq t \leq 5$  transmitter 1 sends

$$X_1(t) = [P_{t-1}(\mathbf{u}) \ Q_{t-1}(v_1, v_2, v_3, v_4) \ \phi \ \phi \ \phi \ \phi \ \phi \ \phi]^T,$$

where  $\phi$  denotes a constant symbol known to all terminals. It is straightforward to verify that receiver 2 has 25 linearly independent equations in 25 variables,  $(v_1, \dots, v_{20})$  and  $(P_1(\mathbf{u}), \dots, P_5(\mathbf{u}))$ . Hence, it can decode all 20 information symbols  $(v_1, \dots, v_{20})$ . On the other hand, using  $Y_2(t)$ , receiver 1 can decode  $P_t(\mathbf{u})$ , and  $Q_t(v_1, v_2, v_3, v_4)$ , where  $2 \leq t \leq 5$ . Therefore, from  $\{Y_2(t)\}_{t=2}^5$ , it has  $(P_2(\mathbf{u}), \dots, P_5(\mathbf{u}))$  and  $(v_1, v_2, v_3, v_4)$ . Using  $(v_1, v_2, v_3, v_4)$ , receiver 1 can construct

the interference signals  $B_1(v_1, \dots, v_4), \dots, B_6(v_1, \dots, v_4)$  for the first channel use. Subsequently, it can subtract these and obtain  $(A_1(\mathbf{u}), \dots, A_6(\mathbf{u}))$ . To summarize, receiver 1 can obtain 10 equations  $(A_1(\mathbf{u}), \dots, A_6(\mathbf{u}), P_1(\mathbf{u}), \dots, P_4(\mathbf{u}))$  in 8 variables and it can reliably decode  $(u_1, \dots, u_8)$ .

**Remark 3:** From Figure 4, note that with perfect CSI, the pair (2, 4) is achievable; in other words, in 5 channel uses, one can send 10 symbols to receiver 1 and 20 symbols to receiver 2. However, with output feedback and delayed CSI, to guarantee the decodability of 20 symbols at receiver 2 necessitates transmitter 1 to repeat the interference component  $(P_1, \dots, P_4)$  and a part of the signal component  $(Q_1, \dots, Q_4)$ . This coding scheme fills up all the dimensions (for this example, there are 25) at receiver 2. However, this leaves 2 dimensions redundant at receiver 1, which is the reason why feedback and delayed CSI cannot achieve the point (2, 4).

## VII. CONCLUSIONS

In this paper, the DoF region of the MIMO-IC has been characterized under the assumption of output feedback and delayed CSI. It has been shown that output feedback and delayed CSI always outperform delayed CSI and can sometimes be as good as perfect CSI. We are currently investigating the generalization of this model by accounting for the cost of feedback, i.e., when feedback is available in a rate-limited manner to the transmitters.

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